

# An Execution Profiler for Window-oriented Applications

*Aloke Gupta and Wen-Mei W. Hwu*

Center for Reliable and High-performance Computing  
University of Illinois, Urbana-Champaign  
hwu@crhc.uiuc.edu

## SUMMARY

Execution profiles are important aid in analyzing the performance of computer programs on a given computer system. However, accurate and complete profiles are difficult to arrive at for programs that follow the client-server model of computing, which is followed by programs in the popular X Window system. In such applications, considerable computation is invoked at the display-server and this computation is an important part of the overall execution profile. The profiler presented in this paper generates meaningful profiles for X Window applications by estimating the time spent in servicing the request messages in the display-server. The central idea is to analyze a protocol-level trace of the interaction between the application and the display-server and thereby construct an execution profile from the trace and a set of supplied metrics about the target display-server.

**Key Words:** execution profiling, window systems, distributed processing, client-server computing.

## INTRODUCTION

Execution profiles are an important aid in analyzing the performance of computer programs on a given computer system [1, 2]. Such profiles are helpful in providing information about the dynamic, run-time, behavior of the program. This run-time information can lead to insights about the performance bottlenecks in a program, which allows the programmers to better focus their effort when tuning the program performance.

However, accurate and complete profiles are difficult to arrive at for programs that follow the *client-server* model of computing. In this model, which is followed by programs in the X Window

Submitted for publication. Please do not distribute.  
System [9, 4], the client programs request various services from *servers* by exchanging messages with them. In the client program, the routines that invoke the computation at the server are merely *stub* routines that send the appropriate request message to the server. The request may invoke substantial computation at the server, but the execution time of this computation may not be reflected in the profiled execution time of the stub routine.

This paper describes **Xprof**, an execution profiler for X Window applications. The X Window system follows the client-server paradigm. A *display server*, the *X server*, manages the actual display hardware and controls access to the graphics and windowing functions on the display and the user input from the mouse and keyboard. The application programs, or *clients*, achieve graphical and windowing functions by means of high-level request messages exchanged with the display-server by following a network protocol [5]. The display server alone has access to the actual display hardware and renders the high-level requests on it. The interaction between the client and server programs is network transparent in the sense that the communication protocol is followed even when the client program runs on the same processor host as the display server.

Distributed systems have come into widespread use recently [6, 7]. Such systems often consist of groups of autonomous computers, or *nodes*, connected together over a local area network, or *LAN*. The node computers often perform dedicated specialized tasks, but are also flexible enough to share different tasks among themselves. As shown in Figure 1, the distributed system may contain, among others, *file servers* for maintaining the disk storage in the system, *computation servers*, which are fast numerical processing oriented machines, and *display servers*, which are the window-oriented display units with or without the ability to perform user computations. In Figure 1 the display servers that also have general purpose

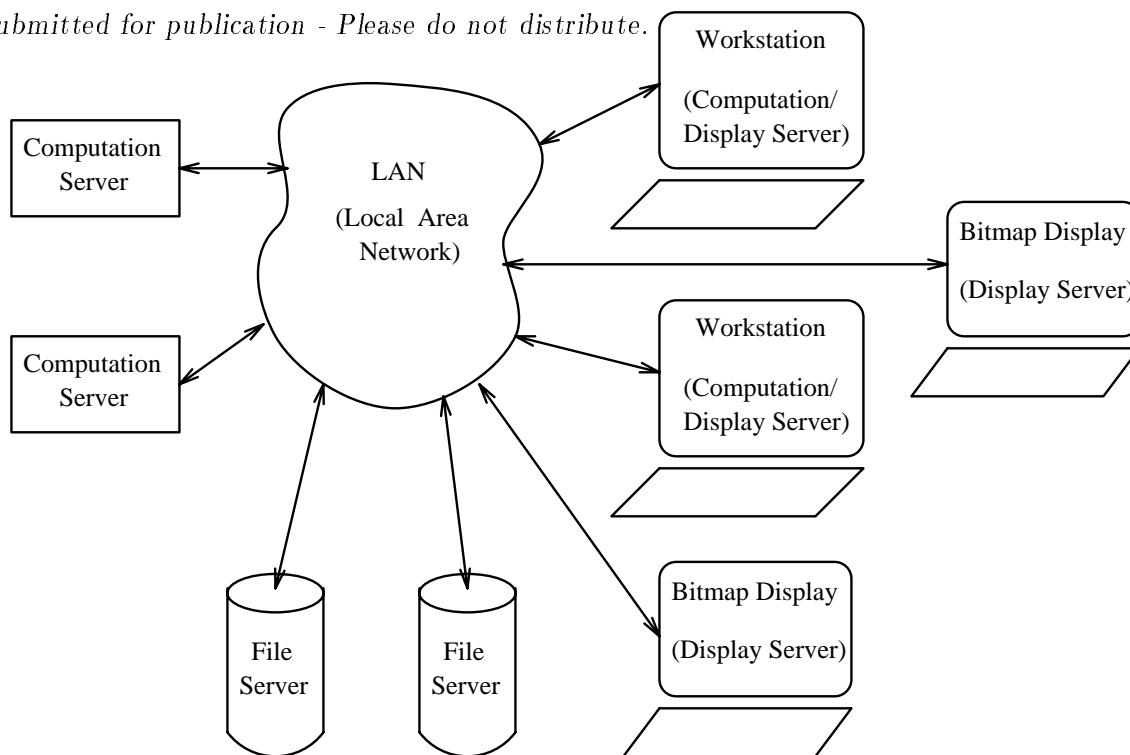


Figure 1: Distributed System Model.

computation capability are referred to as *workstations*, and the ones that are optimized as dedicated display servers are referred to as *bitmap displays*. In the X Window domain, the latter are commonly referred to as *X terminals*.

A meaningful profile of an X Window application program, or client, must account for the following three aspects:

1. The execution profile of the client program itself. This can be measured by a traditional execution profiler [1, 2].
2. The time spent in servicing the requests at the display-server.
3. The time spent in transferring the client-server communication messages over the connection between them. This is especially meaningful when the two programs are run on

The profiler described in this paper, Xprof, estimates the time spent in the display-server and in the network connection and constructs an execution profile of the requests made by a client program. It achieves this by analyzing a trace of the interaction between the client and the display-server programs at the X protocol level. It assigns a computation cost to each request on the basis of its attributes by consulting a set of parameters about the display-server. The network time for each request is estimated on the basis of the size of the request message and the speed and latency of the network connection.

The principal advantages of this strategy, which is applicable to all systems that follow the client-server paradigm are as follows: First, one can identify the most time consuming part of the client application by taking all the aspects into account, including the time spent in the server and the network. Second, by combining the results of Xprof with the results of a conventional execution profile of the application program, one can identify how the computation is being distributed between the client and the display-server. Third, our technique permits cross-display-server profiling. One can take a trace from a particular client-server configuration and generate profiles for other display-servers for the same client. This allows application developers to tune their applications for many display-servers at the same time. Also, system designers can use the tool to predict the performance of applications on new or hypothetical hardware.

Other advantages of this strategy include the following. Since the trace collection is done at a protocol level, there is no need to recompile the client or server programs for tracing. This feature is especially useful since the user does not have to recompile the X server or the X libraries, both of which are fairly large and complex pieces of software, for profiling. Also,

Submitted for publication. Please do not distribute. 5

Even though the tracing procedure causes some slow down in the processing of the requests, this may not matter for the client programs that tend to make asynchronous requests. The trace collector may be run on a third processor host to minimize the conflict for computation resources. Furthermore, for most client programs, if the tracing program is slow, it affects the arrival distribution of the messages but not their information content. Thus the post-processing done on the trace by Xprof can still provide a good picture of the computation invoked by them.

## REVIEW OF PROFILER STRATEGIES

**Client profile** Profilers such as *Prof* and *Gprof* are frequently used to derive the execution profiles of conventional programs [1, 2]. These profilers entail recompiling the source code of the program to insert profiling code within the object code and are useful in studying the computation bottlenecks within the client program. However, they lose the information about the execution time of requests at the server.

**Server profile** The traditional profilers can also be used to instrument the display-server. There are several disadvantages to this approach. First, the display-server is usually a fairly large program and its size can grow appreciably when it is recompiled for profiling. Secondly, the server profile fails to give any information about the link between the requests from a specific client and the corresponding execution in the server. Thirdly, the profile usually gives a total information about the functions invoked and the total time spent in them. Since requests are frequently made with different attributes, it is not possible to analyze the distribution of the weight of each request as executed in the server.

Another approach is to measure the execution times of frequently invoked requests. In

~~Submitted for publication. Please do not distribute.~~  
This strategy, followed by the X Window program *x11perf*, a special measurement program measures the runtimes for the requests for a set of values of the possible attributes. The information collected is very useful for comparing the performance of two different display-servers. However, the data obtained is of limited utility in gauging the performance of a given application program since the user has to make a judgement about which of the requests are critical to the program and for which attribute values. Xprof makes partial use of this approach by using a measurement program to generate a set of parameters for the target display-server and making use of these, in addition to a protocol trace, to construct an execution profile.

**Network profile** Traditionally, network traffic is studied by measuring the load on a network by using a network monitor that logs all the packets on the network [8, 9]. Such measurements can give a good idea of the transport time of the request messages and the overall distributions of arrival time and byte-size of the packets. As it is for *x11perf*, it is difficult to relate such measurement to the performance of the actual application programs. However, there is a close correlation between the X protocol traffic and the actual traffic on the underlying network [10]. Therefore, the network aspect of an X Window application may also be deduced from the protocol trace. Such a study has been done by Linton and Dunwoody [11].

**Xprof** Xprof automates the process of evaluating the performance of an application program on a target display-server by consulting a set of performance parameters collected by an associated measurement program *Xmeasure*. Thus, it combines the information about the client-server interaction, in the trace, with the information about the display-server to arrive at a meaningful execution profile. It also estimates the time spent in network communication on the basis of the size of each request, in bytes, and the speed and latency of the

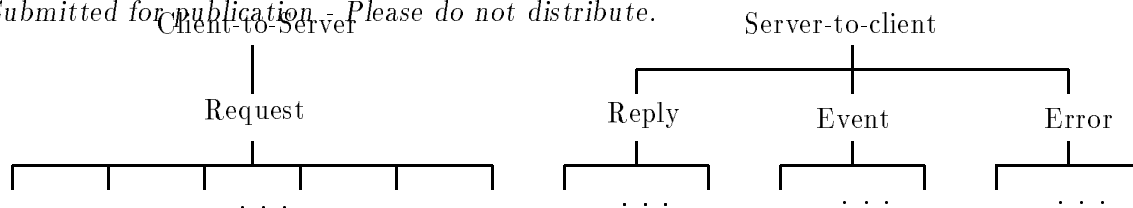


Figure 2: X protocol messages.

network. It is thus able to arrive at a meaningful execution profile of the application with respect to the display-server processing and the network communication overhead and identifies the contribution of each request type to this execution time.

## MESSAGES IN THE X WINDOW SYSTEM

The X protocol supports a rich variety of message types for client-server communication [5, 3]. There are, broadly speaking, four broad categories of messages, i.e., *Requests*, *Replies*, *Events*, and *Errors*. Request messages are sent by the client program to the display-server to request various windowing and graphics functions. Replies are sent from the display-server to the client programs in response to requests that ask for some information from the server. Events are sent from the server to the client programs as a consequence of real-time activities of the user, such as mouse movements and key presses. Lastly, Errors are warning messages of various types that are sent from the server to the client. Figure 2 shows this broad hierarchy of messages types. The subtypes of each message category are not enumerated because the number of message types defined in the X Window System is over two hundred. The X Window protocol manual describes the details of each message type [5].

**Requests** The Request messages invoke computation on the server, as requested by the client. These messages are analyzed in detail by Xprof for their statistical distribution and for the

~~Submitted for publication. Please do not distribute.~~  
Processing invoked on the server. Asynchronous, or *one-way*, request messages form the bulk of the messages traded in a typical X Window session. Since they do not require a reply from the server they can be pipelined on the network connection. The synchronous, or *round-trip*, messages, on the other hand, block until a reply is received and thus incur the overhead of network latency.

**Message attributes** Each of the messages has a number of attributes associated with it, e.g., the *byte-size* of each message is simply the actual size of the messages, in bytes. Event and Error messages are always 32 bytes long, but Requests and Replies can range in length from 32 bytes to 64 Kbytes depending on their information content. Other attributes depend on the type of the message, e.g., the *CopyArea* request has associated with it the information about the location and size of the source and the location of the destination of the area copy. Similarly the line drawing request, *PolyLine*, invokes the attributes regarding the line length, width, and fillstyle etc.

## TRACE COLLECTION: XSCOPE

As discussed earlier, in the X window system application programs, or *clients*, communicate with a *display server* program to request windowing and graphics services on the display. The communication is specified by a high-level protocol. A trace of the protocol messages is enough to characterize the execution of the client program with respect to the display-server. Xprof is designed to analyze such a trace. An advantage of this approach is that there is no need to recompile the applications, or the display-server, for collecting the profiles. An existing program, *xscope*, was selected as the trace collection program. It is distributed with the source code of X window and is thus available on all the X window platforms. It was written by James



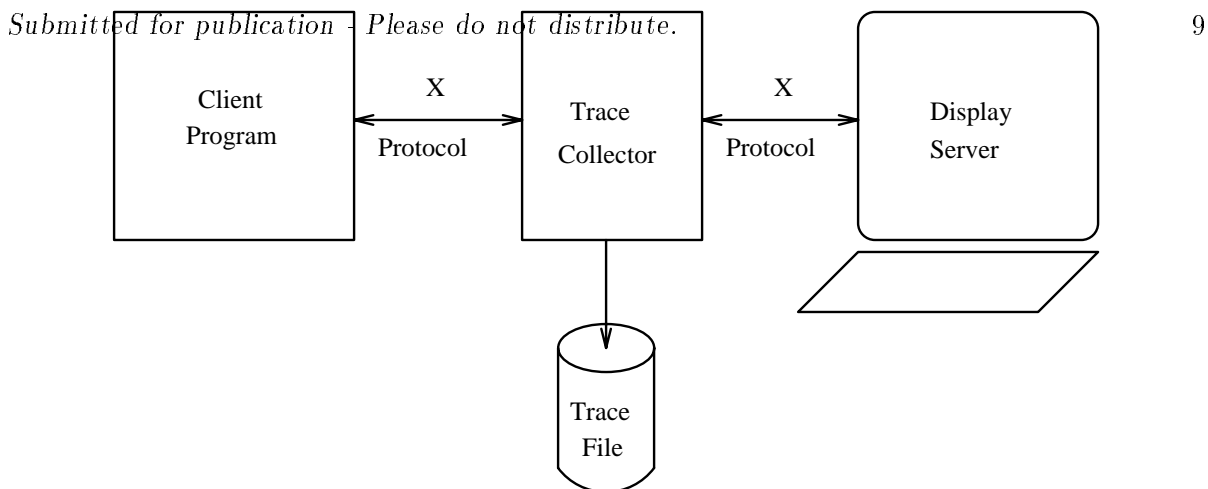


Figure 3: Trace Collection.

Peterson of MCC.

As shown in Figure 3, the tracing program, Xscope, is set up to communicate with the display-server and to act as a “dummy server”. The client programs communicate with it as if dealing with an X server. Xscope passes on all the messages to and from the actual display-server after logging them in a file. The degree of detail of the trace collection may be set up as a command line option. Each of the three programs in Figure 3 may run on their own computation hosts. The slow down of the client-program, caused by the trace collection, depends on the speed of the trace-collector host. In practice, clients that make high-level requests, such as geometrical figures, incur very little performance degradation, but clients that request large data transfers with the server may be slowed down by an order of magnitude.

## TRACE ANALYSIS: XPROF

The protocol-level trace, collected by xscope, is analyzed by *Xprof*, the trace analyzer and profiler program. This program constructs a statistical analysis of the messages exchanged and also constructs an execution profile of the session on the basis of parameters describing the

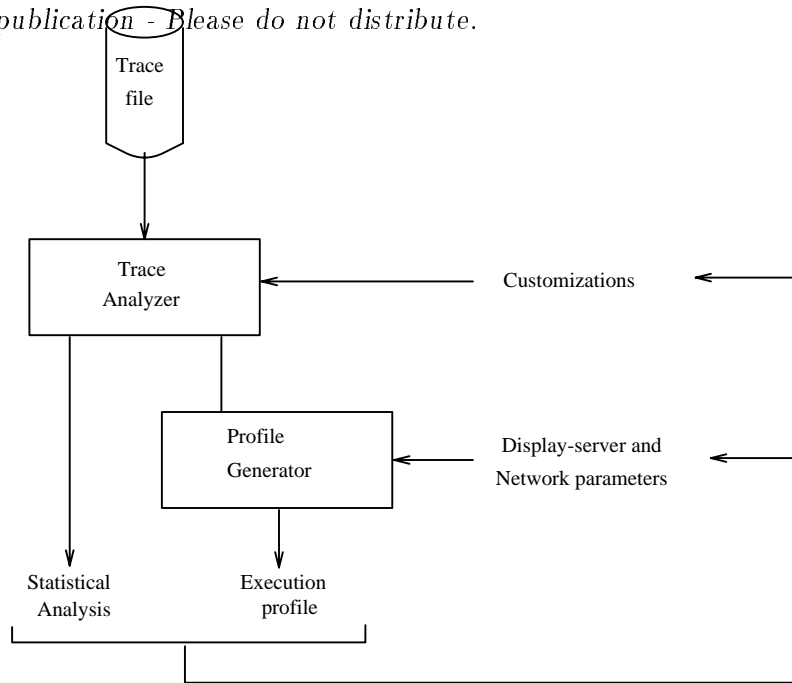


Figure 4: Overview of trace analysis.

target display-server and the network connection.

After running Xprof on a trace, the end-user may chose to refine the trace analysis in order to bring out the details of interest to him. These refinements would be made in terms of better selection of the sizes of the data structures that are used to accumulate statistics or by supplying more precise values of the profiling parameters for the critical requests. These steps are discussed, in greater detail, in the section entitled “Refining the measurements”. The analysis process is summarized in Figure 4.

**Profile generator** For an application program running in a client-server environment, the total execution time,  $T$  of the program can be expressed as the sum of the total time spent in the client program itself and the time spent in servicing the server requests at the server and

$$T = T_{client} + T_{requests} \quad (1)$$

When the client and server programs execute, asynchronously, on different computation hosts, their activities go on with some degree of concurrency and so the actual execution time would be less than the term  $T$  calculated above. Therefore, the above equation is actually an approximation of the total program execution time.

So,

$$T \approx T_{client} + T_{requests} \quad (2)$$

For an X Window application, Xprof estimates the  $T_{requests}$  in Equation 2 on the basis of the contribution of each type of request. Let  $R$  be the set of all request messages sent to the display-server and let  $r_i$  be the  $i$ th message. If  $T_{r_i}$  is the time spent in servicing the message  $r_i$  then the total time of processing requests,  $T_{requests}$ , is given by the following equation:

$$T_{requests} = \sum_{r_i \in R} T_{r_i} \quad (3)$$

$T_{r_i}$  can be expressed as the sum of the time actually spent in executing the requested operation on the display server, i.e.,  $T_{r_i}^{server}$ . and the time spent in transporting the request message across the network, i.e.,  $T_{r_i}^{net}$ .

$$T_{r_i} = T_{r_i}^{server} + T_{r_i}^{net} \quad (4)$$

**Server time** For computing the server time term  $T_{r_i}^{server}$  the information content, or attributes, of each message must be taken into account. A particular invocation of a request may be made from a wide range of values for various attributes of the message, e.g., in order to draw a line, the width and the length of the line drawn are both important in determining the

Submitted for publication. Please do not distribute.  
Execution time of the request. Other attributes include the line-style, i.e., whether to draw 17  
continuous or dashed. Thus,

$$T_{r_i}^{server} = f(attributes_{r_i}) \quad (5)$$

The computation of  $T_{r_i}^{server}$  is discussed, in greater detail, in the section “Profiler Details”  
The statistical analysis of messages is also discussed there. The validity of the computation is  
studied in the section “Validation of profile accuracy”.

**Network time** The network time term,  $T_{r_i}^{net}$  is relatively easy to compute in terms of the  
size of each request in bytes and the average network speed and latency. The network latency  
matters only for synchronous requests which block until they receive a reply from the display-  
server. With each request one can associate a boolean variable,  $blocking_{r_i}$ , which is true if the  
request type is synchronous and false otherwise. Then, for the  $i$ th request,

$$T_{r_i}^{net} = (bytesize_{r_i}/netspeed) + (blocking_{r_i} * netlatency) \quad (6)$$

**Steps in processing the trace input** The profiler, Xprof, thus analyzes the protocol-level  
trace and makes use of the metrics supplied to it about the target display-server and the  
network connection. For each instance of the request, as seen in the trace, Xprof goes through  
the following steps.

**Step 1:** Read in the timestamp, the byte-size of the request message and the relevant at-  
tributes. Compute the operation size, or *op-size*, for the message.

**Step 2:** Update the histogram on byte-size, op-size, and arrival time distributions.

**Step 3:** Compute  $T_{r_i}^{net}$  for the request on the basis of its byte-size and enter it in the data  
structure for this request type.

~~Submitted for publication - Please do not distribute~~  
**Step 4.** Compute  $C_{r_i}$  for the request on the basis of its op-size and other attributes and enter it in the data structure for this request type.

Some messages affect the state of the display-server, e.g., messages that change the *graphics context* affect the attributes of future graphical requests. Xprof maintains the server state and computes the attributes of affected requests from it.

When the trace analysis is complete, Xprof prints out the statistical distribution of the messages and a summary of the time spent in serving each type of request.

## COLLECTION OF SERVER METRICS: XMEASURE

The Xmeasure program is used to collect the server parameters for a given display-server. It runs measurements for each of the requests defined in the X Window protocol, for a wide range of attribute values. This program is thus similar to the X Window program *x11perf* and is designed to output its results in a format suitable for parsing by Xprof. For each request, the measurements are made for a wide range of attribute values critical to that request. Each measurement is made by requesting a large number of operations within two, carefully measured, synchronization points. The rate of the operand execution is printed out along with the attributes.

For each request type, the key attribute identified is the *op-size*, which is defined appropriately for the request. The op-size is a measure of the grain of the computation invoked on the server and thus different from the “byte-size” of the request packet. For instance, for a data transfer request, such as *PutImage*, or *CopyArea*, the op-size would be the area of the target. For a line drawing request the line length is taken to be its op-size. Table 1 shows some measurement results for typical request invocations on three popular color workstations, i.e.,

Table 1: Xmeasure measurements for common client requests

| <i>Request</i>    | <i>Size</i> | <i>Typical<br/>Attributes</i> | <i>No. of operations / sec</i> |          |             |
|-------------------|-------------|-------------------------------|--------------------------------|----------|-------------|
|                   |             |                               | Sun 4/IPC                      | DS 3100  | HP 9000/350 |
| PutImage          | 100x100     | depth=8                       | 95.17                          | 87.81    | 19.52       |
|                   | 300x300     | depth=8                       | 11.18                          | 10.39    | 1.86        |
| PolyLine          | length=100  | width=0                       | 23109.24                       | 17855.19 | 6297.63     |
|                   | length=300  | width=0                       | 11520.11                       | 10915.24 | 2773.87     |
| PolyText8         | strlen=8    | font=6x13                     | 24973.32                       | 21308.36 | 3074.56     |
|                   | strlen=32   | font=6x13                     | 29908.23                       | 28220.78 | 4765.88     |
| ClearArea         | 100x100     | depth=8                       | 590.41                         | 674.56   | 296.78      |
|                   | 300x300     | depth=8                       | 98.15                          | 105.15   | 191.95      |
| CopyArea          | 100x100     | depth=8                       | 537.31                         | 542.65   | 207.96      |
|                   | 300x300     | depth=8                       | 65.11                          | 65.09    | 147.29      |
| PolyFillRectangle | 100x100     | fillstyle=Solid               | 852.65                         | 930.37   | 2006.09     |
|                   | 300x300     | fillstyle=Solid               | 104.36                         | 110.99   | 351.96      |

Sun 4/IPC, DECStation 3100, and HP 9000.

The xmeasure results, or server parameters are supplied to Xprof in the form of a description language. Each entry in the parameters description file has the following format:

$$\begin{aligned}
 & \textit{Request\_Name} \quad [\textit{attribute\_1} = < \textit{value\_1} >] \quad [\textit{attribute\_2} = < \textit{value\_2} >] \quad \dots \\
 & \dots \quad [\textit{attribute\_n} = < \textit{value\_n} >] \quad (\textit{opsize}, \textit{rate})
 \end{aligned}
 \tag{7}$$

where attributes 1 through n are the attributes appropriate to that message and the “rate” is the number of operations per second that were measured for the specified op-size. Any number of entries may be given for a particular request, say for different values of op-sizes and attributes.

It turns out that the op-size is adequate to characterize the performance of most of the Request types. The graphics requests <sup>1</sup> are a notable exception to this general observation. At an early stage of the design, it was decided to limit the types of possible graphics attributes,

<sup>1</sup>There are 8 graphics requests, i.e., *PolyPoint*, *PolyLine*, *PolySegment*, *PolyRectangle*, *PolyArc*, *FillPoly*, *PolyfillRectangle*, and *PolyFillArc*.

- 1. Gxmode** refers to the boolean function that is used to combine source and destination pixels. Typically an application will either choose to replace the destination pixel with a completely new value, or, combine the old value with the new value of the destination and write it back. The second type of operation is usually more expensive than the first type because of the extra memory access involved. Therefore, this attribute is maintained for two values and all actual values are mapped into the two types discussed above.
- 2. Linewidth** is the width of a line, in pixels. Zero width has a special meaning in the X Window system and refers to a line of width 1 pixel that may be drawn by a fast, possibly inexact, algorithm. All other linewidths are generally drawn by more sophisticated, and slower, algorithm. Any number of linewidths could be invoked by an application. Owing to practical considerations, this variable is allowed to have up to four values. Computation time for other line widths is interpolated from the times for the available line widths.
- 3. Fillstyle** may call for solid filling, in the default case, or specify filling a region with a standard tile or a supplied *pixmap*. Again, one value of the attribute is allowed to have value of solid fill and the others are all clubbed together.
- 4. Linestyle** may require solid lines or various types of dashed lines. The solid linestyle is treated as one value of the attribute and the others are treated together.

Figure 5 shows the entries for the requests for creating windows and for line drawing. These were gathered from an actual measurement run for the Sun 4/IPC.

```

CreateWindow      (0,4717.030)
...
PolyLine gxmode=GXcopy linestyle=LineSolid      fillstyle=FillSolid      \
linewidth=0 (100,19161.61)
PolyLine gxmode=GXcopy linestyle=LineSolid      fillstyle=FillSolid      \
linewidth=0 (300,10428.97)
PolyLine gxmode=GXxor  linestyle=LineSolid      fillstyle=FillSolid      \
linewidth=0 (100, 8423.40)
PolyLine gxmode=GXxor  linestyle=LineSolid      fillstyle=FillSolid      \
linewidth=0 (300, 3309.19)
...
PolyLine gxmode=GXxor  linestyle=LineDoubleDash fillstyle=FillOpaqueStippled \
linewidth=10 (100, 45.31)
PolyLine gxmode=GXxor  linestyle=LineDoubleDash fillstyle=FillOpaqueStippled \
linewidth=10 (300, 20.67)
...

```

Figure 5: Typical entries for server parameters.

## PROFILER DETAILS

**Server time** The approach followed in Xprof is to estimate the server execution time, i.e.,  $T_{r_i}^{server}$ , for a given request by interpolating from a supplied list containing information about execution speeds of the requests for typical values of op-size and other attributes. This information is provided to Xprof in the format discussed earlier and is typically generated by running the program Xmeasure on the target workstation.

Thus, the problem of estimating the cost of a request reduces to one of selecting and interpolating from values supplied in a list of information about the costs of a set of standardized requests. Since there is a very large number of possible attributes for each request and each could have possibly limitless values, it is necessary to limit the range of attributes that are actually measured and used. The design choice made in Xprof is to use the op-size as the sole attribute for the vast majority of requests. The graphics requests are measured for all four attributes discussed earlier. Of these, the linewidth is allowed to have up to 4 values and the



```

typedef struct _CostList {
    float    size;           /* Size for which this measurement was made ?*/
    float    speed;         /* Speed in size units per second ? */
    struct _CostList *nextcost; /* Next data point for size and speed thereof*/
} CostList;

typedef union _MsgCost {
    CostList *window;
    CostList **gfx;
} MsgCost;

```

Figure 6: C data structures for maintaining the measured cost of a message in Xprof.

others are allowed to have up to two each. Thus, 32 variations of the graphics attributes are possible for each value of op-size chosen.

As described earlier, for each set of attribute values, Xmeasure makes many different measurements for the possible values of op-sizes. Thus, it is necessary to devise a way of storing and retrieving the information. Xprof maintains the measurements of display-server in an array of lists as shown in Figure 6 and Figure 7.

Figure 7 shows the request *CreateWindow* as representative of most request messages, which have associated with them a linked list of size and speed pairs. Graphics requests, such as *PolyLine* have an array of lists — one list for each combination of allowed attribute values. Initially, each list is empty. During initialization, the entries, as shown in Table 5 are read and the size-pair entry is entered in the appropriate list, which is maintained in ascending order of size for easy searching. In terms of the C language, each there is an array of pointers called *MsgCost* which has one entry for each request. For the graphics requests, the array entry points to an array of lists comprised of the *CostList* structure. All other requests have an entry that points to a single list of *CostList* structures.

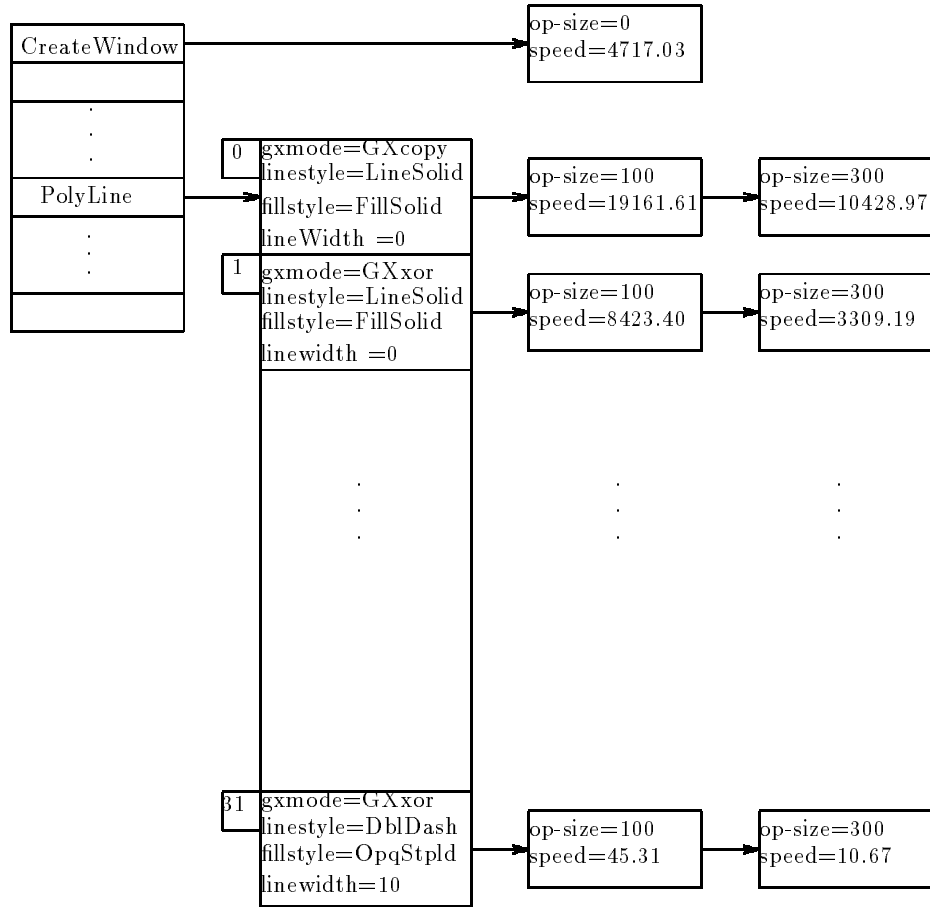


Figure 7: Message costs as maintained by Xprof. Data shown are for the earlier example, as measured for the Sun 4/IPC workstation.

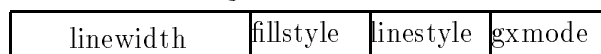


Figure 8:

For the graphics requests, the appropriate array entry, within the array of *CostLists* corresponding to the request, is computed by the following hashing function and the entry is inserted in the list.

$$index = gxmode + (2 \times linestyle) + (4 \times fillstyle) + (8 \times linewidth) \quad (8)$$

This equation is equivalent to the address computation implied by Figure 8 which shows how the 5 bit array address is computed from each of the attributes. As discussed earlier, the linewidth is allowed to have up to 4 values (2 binary bites) and the remaining three attributes may have up to two values (1 binary bit) each. This leads to a total of 32 possible combinations of attributes, which can be represented by a 5 bit value.

During trace analysis, when a request is encountered, Xprof searches for an entry matching its size and attributes in the *MsgStats* array. There are three possible outcomes of this search. First, an exact match may be found for the given request's attributes and op-size. In this case the  $T_{r_i}$  term is easily computed from the *speed* of the matching entry. Second, an exact match for the attributes may be found, but the entry for the exact op-size may not be found in the linked list. In this case, the solution is to interpolate the term for speed from the entries that match the desired op-size most closely. Third, in the worst case, there may be no exact match for the attributes desired. In this case, the solution is to fall back to the entry with an index closest to the desired one and is available in the array of lists, for that request, and proceed as in the second case above. Xprof prints out a warning message in this case to alert the user, but proceeds with the trace analysis.

~~Submitte for publication. Please do not distribute.~~ The following example should make the computation of  $T_{r_i}$  clearer. Say, Xprof sees the following three *PolyLine* requests in the trace. In each case the op-size refers to the length of the line in pixel. Also, PolyLine is a graphics request and so the various attributes need to be taken into account. Figure 7 is used to compute the computation time for each case.

1. Op-size=100, gxmode=GXcopy, linestyle=FillSolid, fillstyle=FillSolid, linewidth=0: In this case, the value of index, from Equation 8 is 0. From Figure 7, the appropriate list entry for op-size of 100 yields a speed of 19161.61 operations per second. From this, the time spent can be computed as  $1/19161.61$ , i.e.,

$$T_{r_1} = 0.0522ms$$

2. Op-size=200, gxmode=GXcopy, linestyle=FillSolid, fillstyle=FillSolid, linewidth=0: Again, the index is 0. However there is no exact match for the op-size, since there is no list entry for lines of these attributes and length 200. The solution is to interpolate from the supplied speeds for op-size. 100 and 300. Op-size 100 implies an execution time of 0.0522 ms and op-size 300 implies 0.0959 ms. Therefore,

$$T_{r_2} = 0.0522 + \frac{0.0959 - 0.0522}{300 - 100} \times (200 - 100) = 0.07385ms$$

3. Op-size=100, gxmode=GXxor, linestyle=FillSolid, fillstyle=DoubleDashed, linewidth=0: This time the index is 5. However, there is no entry at all for this index in the data structure of Figure 7. The nearest list to fall back to is in array location 1. From that list we find that an operation of op-size 100 is executed with a speed of 8423.40 operations per second. From this,

$$T_{r_1} = 1/8423.4 = .1187ms$$

```

typedef struct {
    Boolean   invoked;           /* Has this structure been invoked before ? */
    long     number;            /* Total number of these messages seen */
    long     total_bytes;       /* Total number of bytes seen for this message*/
    long     last_time;         /* The time stamp of previous message */

    Grain    size_grain;        /* Size grain for this measurement */
    Detailed detailed;          /* Are we maintaining detailed information ? The
                                following are updated only if this is set */

    long     *iat_distbn;       /* Interarrival time distribution */
    long     min_iat, max_iat;  /* Range of values of the raw data */
    long     *size_distbn;      /* Size distribution */
    long     min_size, max_size;
} MsgStats;

```

Figure 9: C language structure to maintain message statistics.

**Statistical Distributions** Xprof collects the statistical distributions of the interarrival time and op-size distributions of each X message type and the statistics for each of the request types.

The grain size for the measurement is settable at runtime as discussed below

Figure 9 shows the data structure employed to collect the statistics for each of the message types. Since each X message type has a copy of *MsgStats* associated with it, the total number of instances of the *MsgStats* data structure is over two hundred. Thus, it is important to keep the size of the data structures within reasonable bound. In order to achieve this, the two arrays for collecting the distributions of inter-arrival time and size, i.e., *iat\_distribution* and *size\_distbn*, are allocated dynamically from heap memory at run time.

The distribution of inter-arrival time can be expected to have a very wide range of values. Therefore, early in the design process, it was decided to collect the corresponding histogram on a log scale. The size of the corresponding array was set at 32. Given that the grain size for the measurement of time, on a Unix system, is 10ms, this choice is enough to cover interarrival

~~Submitted for publication. Please do not distribute.~~ times of approximately up to a year, which should be adequate for most applications. The choice of size of the `iat_distbn` array implies an overhead of about 128 bytes <sup>2</sup> for each data structure, which is quite reasonable.

The choice of the size of the histogram array for the operation size, or *op-size*, is a trickier proposition. The range of op-sizes is different for each message type. Also, the op-sizes are distributed fairly uniformly within that range. Thus, to be meaningful, these measurements should allow for the different ranges and also be measured on a linear scale. The design choice made was to set the array size of *size\_distbn* at 4096, which is changeable at run-time, and allow for different grain-size of measurement for each request. A good choice of grain-size for a request would thus be one that distributes its range uniformly over the array.

Since each request has a different grain for its size measurement, the information about the grain is also maintained in the `MsgStats`, in the *size\_grain* variable, and the size histogram is interpreted only with reference to this grain. Default size-grains are set up at initialization time and may be set by the user. To illustrate the choice of a suitable size-grain, it may be noted that some requests, such as *PolyLine* generally request small operations and so a size-grain of 1 is adequate for such requests. The *PutImage* request, on the other hand, can request the copying of data of up to 64 Kilobytes and would need a size-grain of 16, for the above choice of 4096 buckets, to accommodate all possible values. As an extreme example, the *ClearArea* request can request the clearing of of very large sections of the display screen. For clearing an entire screen of a display that is 1024 by 1024 pixels with 8 bits per pixel, the server needs to process 1 Megabyte of data, which implies that a grain-size of 256 is needed for this operation. Xprof has built-in default values for the grain of each message type which are adequate for most

---

<sup>2</sup>Assuming that the computer uses 4 bytes for each *long* integer

Given a choice of 4096 for the number of buckets in the size distribution, this array accounts for 16 Kilobytes<sup>3</sup> of heap memory per message measured. Since over 200 instances of this structure may be needed, the total space usage amounts to over 3 Megabytes. To reduce this, worst-case memory requirement, two further optimizations are made.

First, the variable *detailed* determines whether the user is interested in collecting the histogram at all. If not, the distribution arrays are not allocated, or maintained, at run time. This may be true if the user is not interested in certain requests or is only interested in the execution profile and not in the message distributions. This variable can be set for each message type individually.

Second, the boolean variable *invoked*, which is false by default, is used to track whether the message has been encountered at all in the trace. The allocations of the *size\_distbn* array, from heap memory, are actually made the first time the message is seen. Since a typical X Window session uses only a subset of the possible message types, this feature can save a lot of heap memory. In practice, 30-50 message types are typically seen in a trace. This implies a memory usage of 480-800 Kilobytes of usage, which is a vast improvement over the worst case usage of over 3 Megabytes calculated earlier.

Thus, the customizable parameters, for the histograms, are the size-grains for the operation size — on a per-message basis, the choice of whether to maintain the detailed histograms, and the sizes of the histograms arrays. Default values for each are built into Xprof and are customizable by the user.

---

<sup>3</sup>Assuming that the computer uses 4 bytes for each *long* integer

Since Xprof is a trace-driven profiler, it is possible to rerun it on the same trace in order to bring out information of interest to the user. For instance, after running Xprof once on the trace input, the user may find that the trace involves requests with combinations of attributes that are not covered in the server parameters list. For such a case, the user may choose to collect the necessary data, by running Xmeasure on the target display-server for the necessary the combination of attributes, and then augment the server parameter list. Then, Xprof may be rerun to generate a more accurate profile of the trace.

Several run-time variables may be tweaked in order to refine the statistical analysis of the messages. Some of these are discussed below. First, the array size of the *size\_distbn* array may be changed at run-time. This choice is driven by the available physical memory to run Xprof. The default choices embedded in Xprof reflect the resources available on current generation machines. Second, the *size\_grain* may be modified, on a per message basis. This choice depends on the range of sizes, seen in the trace for each message type, and may thus be refined after the trace has been analyzed once through. Third, the *detailed* variable mentioned earlier may be used to selectively turn off the statistical measurements, but not the profiling, of certain requests. Such a choice would not affect the computation requirement of Xprof, but may reduce its dynamic memory usage substantially.

Each request type has an associated *action* function that processes each instance of the request, as seen in the trace, by following the steps described in the earlier section “Trace Analysis: Xprof”. If, for some reason, the user wants to rewrite the actions, a template file is included with the source code. Thus, users could extend Xprof to support future extensions to the X protocol or, say, change the definitions of the op-size for a request, as they choose.



Table 2: Validation of profiler accuracy.

| <i>Application</i> | Client Time<br>(Gprof) | Server Time<br>(Xprof) | Total Profile<br>(Gprof+Xprof) | Actual Time<br>(wallclock) | Ratio<br>(Profile/Actual) |
|--------------------|------------------------|------------------------|--------------------------------|----------------------------|---------------------------|
| XImage             | 255.0 s                | 57.84 s                | 312.84 s                       | 320.0 s                    | 97.8 %                    |
| Xtex               | 2.5 s                  | 3.85 s                 | 6.37 s                         | 7.0 s                      | 91.0 %                    |

In some cases, users may want to setup Xprof to consume trace data in real-time. In order to support such a usage, Xprof captures the following signals in the Unix environment. <sup>4</sup>

1. SIGHANGUP: This signal causes Xprof to print out the results accumulated up to the current point.
2. SIGKILL: This causes Xprof to reset its data structures to their initial values, clear all histogram arrays, and to reread the server parameter file.

## VALIDATION OF PROFILE ACCURACY

The data in Table 2 demonstrate that Xprof complements the client-side profile by providing an accurate server-side profile. For the applications shown, the sum of the client time, as measured by Gprof, and the server-time, as measured by Xprof, is very close to the actual wall clock time. Since both the application and the display-server were run on the same cpu host, the network time is not relevant to this measurement.

The applications were run on a Sun 4/IPC color workstation with release 4 of version 11 of the X Window System. The application *Xtex* is a previewer for documents formatted by the latex document processing software. The trace was collected for the display of a 19 page report. Ximage is a scientific visualization tool that is used to display the result of scientific

---

<sup>4</sup>These signals are communicated to Xprof by using the *kill* command from a shell, e.g., for sending SIGHANGUP, the user would type: *kill -1 ;Xprof-process-number<sub>i</sub>*, and for sending SIGKILL: *kill -2 ;Xprof-process-number<sub>i</sub>*.

Submitted for publication. Please do not distribute.  
computation in the form of color pictures. It can be set up to display a succession of such  
pictures as an animation sequence. The data set chosen was a sequence of 60 pictures, each  
300x300 pixels in 8 bit color. The sequence was run through 10 times in order to generate the  
trace.

## RESULTS

The output generated by Xprof consists of the estimated execution profile for the requests, at the server, and the statistical distribution for the messages and the message categories, i.e., Requests, Replies, Events, and Errors.

In the following tables, detailed profiler results are shown for the Xtex program, as computed by Xprof. As mentioned earlier, the application trace was collected on a Sun 4/IPC system and analyzed by Xprof using the server parameter list for the Sun 4/IPC — as collected by the measurement program Xmeasure. The network parameters were set to a data transfer speed of 100 KBytes/sec and a latency of 10 ms.

**Execution profile for requests** The execution profile consists of a list of all the requests that are made during the execution of the program, with the total estimated time of execution for each. This time is broken down in terms of the computation and communication parts. The number of messages received, in each category, and the mean execution time per request are also printed out.

Table 3 shows the execution profile for Xtex for the five most time consuming functions, which together account for over 90% of the execution time. Not surprisingly, the text rendering messages, *PolyText8*, account for a large number of the messages: over 86%. Yet, the computation part for these messages is responsible for only about 7% of the profiled execution time. The

Table 3: Excerpt of the execution profile of Xtex.  
 Network speed = 100.00 KBytes/sec, Latency = 10.00 ms

| Request Name      | Time (ms) | %of total | Compute part(%) | Network part(%) | No. of msgs | %of total | Time/call (ms) |
|-------------------|-----------|-----------|-----------------|-----------------|-------------|-----------|----------------|
| PolyFillRectangle | 3137.490  | 50.68%    | 47.22%          | 3.45%           | 508         | 5.92%     | 6.176          |
| PolyText8         | 2130.350  | 34.41%    | 7.12%           | 27.29%          | 7389        | 86.12%    | 0.288          |
| MapSubwindows     | 102.985   | 1.66%     | 1.66%           | 0.01%           | 5           | 0.06%     | 20.597         |
| MapWindow         | 100.388   | 1.62%     | 1.59%           | 0.03%           | 23          | 0.27%     | 4.365          |
| QueryFont         | 227.464   | 3.67%     | 1.07%           | 2.60%           | 16          | 0.19%     | 14.216         |
| ...               |           |           |                 |                 |             |           |                |
| Grand Total       | 6191.332  | 100.00%   | 62.12%          | 37.88%          | 8580        | 100.00%   | 0.722          |

Table 4: Excerpt of the execution profile of Xtex.  
 Network speed = 1000000.00 KBytes/sec, Latency = 0.00 ms

| Request Name      | Time (ms) | %of total | Compute part(%) | Network part(%) | No. of msgs | %of total | Time/call (ms) |
|-------------------|-----------|-----------|-----------------|-----------------|-------------|-----------|----------------|
| PolyFillRectangle | 2923.832  | 76.02%    | 76.02%          | 0.00%           | 508         | 5.92%     | 5.756          |
| PolyText8         | 440.879   | 11.46%    | 11.46%          | 0.00%           | 7389        | 86.12%    | 0.060          |
| MapSubwindows     | 102.585   | 2.67%     | 2.67%           | 0.00%           | 5           | 0.06%     | 20.517         |
| MapWindow         | 98.548    | 2.56%     | 2.56%           | 0.00%           | 23          | 0.27%     | 4.285          |
| QueryFont         | 66.184    | 1.72%     | 1.72%           | 0.00%           | 16          | 0.19%     | 4.136          |
| ...               |           |           |                 |                 |             |           |                |
| Grand Total       | 3846.058  | 100.00%   | 99.99%          | 0.01%           | 8580        | 100.00%   | 0.448          |

dominant message, from the viewpoint of the display-server, is the *PolyFillRectangle* request. It turns out that, in the design of Xtex, this request is invoked to clear a page before rendering text on it. Clearly, text rendering itself is not the computation bottleneck for this application. However, when we look at the network part, the PolyText8 requests take up 27% out of the 38% of the time spent in network communication. The overall performance of this program, on the hardware studied, could be improved by reducing the computation cost of clearing a page, and the network cost of communicating the text rendering requests.

In order to get a good idea of the server-side computation, the user may be interested in looking only at the computation profile. Table 4 shows the estimated profile with network speeds and latency values that effectively make the network component irrelevant. Such an analysis emphasizes the computation bottlenecks in the profile.

Table 5: Message statistics for Xtex

```

***** Statistics for Requests *****
Inter-arrival time distribution (ms):
      Number      Range      Mode  Median      Mean  Std. Dev.
(All points)   8580     0-8990       0     0     13.22    165.58
(Zeros removed) 150     30-8990     630    310    756.13   1003.27

Size distribution:
      Number      Range      Mode  Median      Mean  Std. Dev.
(All points)   8580     4-96       24     23     24.07     9.41

```

**Message statistics** Xprof prints out the statistical distribution for the message categories, as well as for the individual messages. In addition, it can be setup to print out the detailed histograms from which these statistics are derived. The statistics are printed for the interarrival time and size distributions of the messages.

**Message categories** Table 5 shows the overall distributions for the Request messages, in the Xtex trace. The interarrival distribution has a large number of zero entries in it owing to the buffering of messages within the X library, which makes a lot of messages arrive together at the server. Hence, the arrival distribution for the actual message packets can be arrived at by discarding the zero values. This distribution is also computed and printed. For the Request messages, the sizes refer to the actual byte sizes of the requests. The total bytes for each request message are also computed and printed as shown in Table6. Similar printouts are made for the other categories of messages, i.e., Replies, Events, and Errors, but, in order to save space those are not shown here.

Table 5 shows that, the Xtex message are buffered frequently by the X protocol. Most message are relatively small, with a mean size of about 24 bytes. Table 6 shows that the PolyText8 request accounts for over 80% of the network traffic for Xtex. This explains why

Table 6: Total bytes for each request in the trace of Xtex

| Request messages  | Total Bytes           | Number        |
|-------------------|-----------------------|---------------|
| PolyFillRectangle | 21368 bytes (10.35%)  | 508 (5.92%)   |
| PolyText8         | 168964 bytes (81.80%) | 7389 (86.12%) |
| MapSubwindows     | 40 bytes (0.02%)      | 5 (0.06%)     |
| MapWindow         | 184 bytes (0.09%)     | 23 (0.27%)    |
| QueryFont         | 128 bytes (0.06%)     | 16 (0.19%)    |
| ...               |                       |               |
| Grand Total       | 206548 bytes          | 8580          |

Table 7: Statistics for PolyText8 messages in the trace of Xtex

```

***** Statistics for PolyText8 *****
Inter-arrival time distribution (ms):
      Number      Range      Mode  Median      Mean  Std. Dev.
(All points)    7389    0-21340      0     0    13.99    284.42
(Zeros removed)  108    150-21340    630    310   957.41   2152.07

Size distribution:
      Number      Range      Mode  Median      Mean  Std. Dev.
(All points)    7389     1-43      3     2     3.46     2.35

```

these requests have a relatively high network component in the execution profile. The average size of these requests is about 23 bytes, which is pretty close to that for the overall profile.

**Individual messages** The last section of the Xprof output lists the distributions of each message type individually. Table 7 shows the distribution for the PolyText8 request, for Xtex. As noted earlier, for the overall request distribution, the effect of buffering of the messages can be seen here in the large number of entries for zero arrival time. In the size distribution, the op-size, for this request, is the length of the requested string of text. The distribution shows that the text requests are made, on the average, for very short string lengths of about 3.5 characters. Since, as noted earlier, the average PolyText8 message is about 23 bytes long, this means that the message is not very efficient at transmitting the strings. Longer string lengths in each request might speed up the network performance.

Table 8: Cross-server profiler results for Xtex.

| Request Name      | Message distribution |            | Execution Profile |            |                 |            |             |            |
|-------------------|----------------------|------------|-------------------|------------|-----------------|------------|-------------|------------|
|                   |                      |            | Sun 4/IPC         |            | DecStation 3100 |            | HP 9000/350 |            |
|                   | No. of messages      | % of total | Time              | % of total | Time            | % of total | Time        | % of total |
| PolyFillRectangle | 508                  | 5.92%      | 2.92s             | 75.99%     | 2.67s           | 72.39%     | 1.14s       | 14.98%     |
| PolyText8         | 7389                 | 86.12%     | 0.44s             | 11.50%     | 0.59s           | 16.20%     | 4.28s       | 56.32%     |
| MapSubWindows     | 5                    | 0.06%      | 0.10s             | 2.67%      | 0.03s           | 0.72%      | 0.14s       | 1.84%      |
| MapWindows        | 23                   | 0.27%      | 0.99s             | 2.56%      | 0.12s           | 3.20%      | 0.33s       | 4.39%      |
| QueryFont         | 16                   | 0.19%      | 0.66s             | 1.72%      | 0.11s           | 2.92%      | 0.42s       | 5.60%      |
| All Messages      | 8580                 |            | 3.85s             |            | 3.69s           |            | 7.60s       |            |

**Cross-server profiling** Table 8 is a summary of a cross-server profiling study of the performance of the Xtex trace on several different architectures. In addition to the Sun 4/IPC, Xprof was run on the trace with server parameter lists for the DECStation 3100 and HP 9000/350 computer systems, each of which is a color workstation, with 8 bit color and running release 4 of version 11 of the X Window System. In order to emphasize the computation part at the display-server, the profile was run for network parameters that effectively make the network component irrelevant.

The data show that the PolyFillRectangle requests are the computation bottleneck for both the Sun and DEC machines. For the HP, however, the PolyText8 requests are dominant in the profile. Note that on the HP, the text rendering is about 8 times slower than on the other machines. So, its profile is skewed towards the text rendering function. However, because it has a fast implementation of PolyFillRectangle, its total time for Xtex is only about 2 times that for the other two machines. This example clearly demonstrates the importance of correctly identifying the critical server functions, for a given workload to optimize the server performance. For all three machines, just a few requests account for 70-90% of the computation time on the display-server.

In this paper we describe Xprof, a methodology for generating meaningful profiles of X Window applications. The profiler estimates the time spent in servicing the request messages in the display-server and the network connection by analyzing the protocol-level trace of messages exchanged between the application and the display-server. In addition, the statistical distributions of the arrival-time and the operation sizes of the requests are analyzed. The resulting profile provides an accurate and complete picture of the server-side execution of the application program.

The profiles generated by Xprof may be useful to many different audiences. For instance:

1. Xprof supports cross-display-server profiling. Thus, users of display-servers, such as workstations, could evaluate the performance of different workstations for their own applications by profiling traces of interest to them for several target servers. All they need is the server parameter list for each workstation, which can be generated by Xmeasure in a standardized manner.
2. Developers of X window based software can identify bottlenecks in their software and tune it for different platforms. Conventional profilers do not give a coherent picture of the overall execution profile of a client-server program.
3. Designers of display-servers can get a good idea of the critical requests made by typical applications and tune their systems to execute such requests faster.
4. Administrators of distributed systems can get a better idea of the partitioning of computation between the client and display-server programs and also the network load imposed by typical applications.

*Submitted for publication - Please do not distribute* 32  
As distributed systems come into widespread use, the client-server paradigm of computing will become increasingly important. The methodology followed in Xprof may be used to design profiles for any general client-server system. Information gained from such profiles would be of great help in designing strategies for task partitioning and load balancing.



- [1] S. Graham, P. Kessler, and M. McKusick, “An execution profiler for modular programs,” *Software Practice and Experience*, vol. 13, pp. 671–685, 1983.
- [2] AT&T Bell Laboratories, Murray Hill, N.J., *UNIX programmer’s manual*, January 1979.
- [3] R. Scheifler and J. Gettys, “The X window system,” *ACM Transactions on Graphics*, vol. 5, pp. 79–109, April 1986.
- [4] J. Gettys, P. Karlton, and S. McGregor, “The X window system, version 11,” *Software Practice and Experience*, vol. 20, pp. S2/35–S2/67, October 1990.
- [5] R. Scheifler, *X Window System Protocol: X Version 11, Release 4*. MIT X Consortium, Massachusetts Institute for Technology, Laboratory for Computer Science, 1988.
- [6] G. Champine and D. Geer, Jr., “Project Athena as a distributed computer system,” *IEEE Computer*, vol. 23, pp. 40–51, September 1990.
- [7] S. Mullender, G. van Rossum, A. Tannenbaum, R. van Renesse, and H. van Staveren, “Amoeba: A distributed operating system for the 1990s,” *IEEE Computer*, vol. 23, May 1990.
- [8] M. Lorence and M. Satyanarayanan, “IPwatch: A tool for monitoring network locality,” *Operating Systems Review*, vol. 24, pp. 58–80, January 1990.
- [9] D. Mirchandani and P. Biswas, “Ethernet performance of remote DECwindows applications,” *Digital Technical Journal*, vol. 2, pp. 84–94, Summer 1990.
- [10] R. Droms and W. Dyksen, “Performance measurements of the X window system communication protocol,” *Software Practice and Experience*, vol. 20, pp. S2/119–S2/136, October 1990.
- [11] J. Dunwoody and M. Linton, “A dynamic profile of window system usage,” *Proceedings of the 2nd International Conference on Computer Workstations*, pp. 90–99, March 1988.